

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant : Scott Malcolm Caplan et al.      Art Unit : 3623  
Serial No. : 10/697,907      Conf. No.: 9741  
Filed : October 29, 2003      Examiner : Andre D. Boyce  
Title : METHOD AND APPARATUS FOR CREATING AND EVALUATING  
STRATEGIES

**MAIL STOP AMENDMENT**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**RESPONSE TO RESTRICTION REQUIREMENT AND ELECTION  
OF SPECIES UNDER 35 U.S.C. §121**

In response to the Restriction Requirement mailed on June 26, 2008 regarding the above-referenced patent application. The Examiner has required restriction of the claims in the present application to one of the following inventions:

- Group I:** Claims 1-54, drawn to creating and evaluating strategies, including providing a plurality of modules wherein modules has capability to interact with an expert task manager, classified in class 705, subclass 7.
- Group II:** Claims 55-56, drawn to an apparatus for automating decision model updating and reporting, classified in class 705, subclass 8.
- Group III:** Claims 57-58, drawn to a decisioning client apparatus, including generating transformations of data and generating at least one score based on at least one score weight of at least one scorecard at a time, classified in class 705, subclass 7.
- Group IV:** Claims 59-67, drawn to a score tuner performing administrative tasks associated with updating of score weights, including determining which scoreboards are candidates for tuning, classified in class 705, subclass 7.
- Group V:** Class 68-71, drawn to estimating an uncertainty interval around at least one estimate of at least one expected outcome, including quantifying said

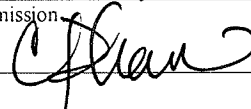
**CERTIFICATE OF ELECTRONIC TRANSMISSION**

I hereby certify that this correspondence is being electronically transmitted to the Patent and Trademark Office on the date indicated below in accordance with 37 CFR 1.8(a)(1)(i)(C).

July 23, 2008

Date of Transmission

Signature



Christina Pham

Typed or Printed Name of Person Signing Certificate

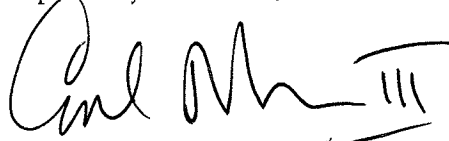
uncertainty interval due to variation based on case-level variation, model variation, and portfolio composition, classified in class 705, subclass 7.

In response, Applicant hereby elect without traverse the invention in **Group 1**. Applicant reserves the right to prosecute claims which are equal to or broader in scope in this or future applications related to the above-identified patent application.

If there are any questions regarding this response, the Examiner is encouraged to contact the undersigned at the telephone number provided below.

Applicant is concurrently filing herewith a Petition for a one-month extension of time with the requisite fee. The Commissioner is hereby authorized to charge any fees that may be due, or credit any overpayment of same, to Deposit Account No. 50-0311, Reference No. 35006-556F01US.

Respectfully submitted,



Date: July 23, 2008

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